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Attorney Docket 103.1046.01

2126
Hw

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rowe

Art Unit: 2126

Serial No. 09/660,824

Examiner: Hoang, P.

Filed: 9/13/2000

For: A Mechanism to Survive Server
Failures When Using The CIFS
Protocol

CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner
for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted under:

- ☒ 37 CFR 1.97(b)
(Within three months of the national application filing or the entry of international
application, OR before the mailing date of first Office Action, whichever occurs last.)
- ☐ 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e)
or ☐ \$180.00 fee set forth in 37 CFR 1.17(p)
(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance,
whichever occurs first.)
- ☐ 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)
and ☐ \$180.00 fee set forth in 37 CFR 1.17(p)
(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

List of Documents

Applicant(s) submits herewith:

- ☒ Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☐ Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

Copies of Documents

- ☒ Applicant(s) submits herewith copies of all listed documents.
- ☐ Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

Docket No.: _____
Serial No.: _____
Filed: _____

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

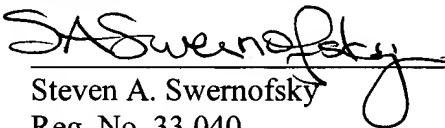
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

It is requested that the information disclosed herein be made of record in this application.

Date: 10-29-2004

Respectfully submitted,


Steven A. Swernofsky
Reg. No. 33,040

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(650) 947-0700

Approved for use through 10/31/2002. OMB 0651-0031

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U.S. PATENT DOCUMENTS

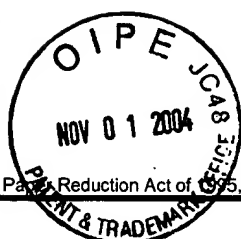
FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date
Considered

1. Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6. Applicant is to place a check mark here if English language Translation is attached.

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/660,824
		Filing Date	Sep 13, 2000
		First Named Inventor	Rowe, Alan
		Art Unit	2126
		Examiner Name	Hoang, P.
Total Number of Pages in This Submission	4+	Attorney Docket Number	103.1046.01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form SB08A Copies of References Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Steven A. Swernofsky	Reg. No. 33,040
Signature		
Date	10-29-2004	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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